

# New Business Forms for Fixed and Fixed-Indexed Annuities

## APPLICATION OWNERSHIP TITLING

### If the owner is a **Trust**:

- Ownership should be listed as John Doe Trust (indicate whether the trust is Revocable or Irrevocable).
- The tax ID number must be provided.
- List the address where statements should be mailed.
- Section 2 must be completed with the name of a living individual.
- Application and all other documents must be signed by the Trustee.
- The sole Primary Beneficiary must be listed as the name of the Trust, and there cannot be any Contingent Beneficiaries listed.
- Provide a copy of the Trust Documents, or submit a **Trust Certification Form** (X6017907NW).

### If the owner is a **Business**:

- Ownership should be listed as John Doe's Shop.
- Provide the address of the business and the FEIN.
- The Primary Beneficiary must be listed as the business.
- Application and all other documents must be signed by an authorized party.
- Submit a completed **Certificate of Corporate Resolution Form** (S2650910NW).

### For **Inherited IRA** or **Inherited Non-qualified** policies:

- Ownership should be listed as John Doe Beneficiary of Mary Smith.
- Provide John Doe's address, Social Security Number and Date of Birth.
- Section 2 must be completed with John Doe's information.
- For Inherited IRA policies, submit a completed **Inherited IRA ESP Form** (S2652911NW).
- For Inherited NQ policies, submit a completed **Inherited NQ ESP Form** (S2658713NW).